

Donating securities to United Way is fast, easy and both you and your community benefit!

## Gifts of Stocks and Securities



**United Way**  
Peel Region

Both you and your community benefit when you donate securities to the United Way!

A gift of this nature will entitle you to receive a charitable tax receipt for the fair market value of the donation, while not being subject to any capital gains tax.

As a result, your gift of securities to the United Way of Peel Region may produce a greater tax benefit than selling the shares and donating cash.

The following exchangeable shares are eligible:

- Shares, rights, bonds or debentures listed on a designated stock exchange
- Shares of a Canadian public mutual fund corporation
- Units of a widely held Canadian mutual fund trust
- An interest in a segregated (insurance) fund trust
- A bond or debenture issued or guaranteed by the Government of Canada or one of the provinces

“ There are many different ways you can make a donation to your favourite charity but I find that making a gift of securities gives the most benefits to both me and the charity. ”

Gerald A. Morin

	Option 1 Sell Shares and Donate Cash	Option 2 Donate Shares Directly
Fair Market Value (FMV) at time of disposition	\$10,000	\$10,000
Cost base (original cost of shares)	\$2,000	\$2,000
Taxable gain (% of gain)	\$4,000 (50% of gain)	\$0 (0% of gain)
Tax credit from donation (46% of FMV)	\$4,600	\$4,600
Tax on gain (46% of FMV)	\$1,860	\$0
Tax savings	\$2,760	\$4,600

If you would like to know more about making a gift to United Way, please call Kimberley Soulière, Director, Individual Giving at 905-602-3633 or [ksouliere@unitedwaypeel.org](mailto:ksouliere@unitedwaypeel.org).

# Donation of Securities, Mutual Funds & Employee Stock Options

## NOTICE OF A DONATION BY ELECTRONIC TRANSFER

Thank you for your interest in United Way of Peel Region.

Gifts of securities or mutual funds can be easily transferred to United Way of Peel Region. Please Print.

### Donor Information

Name of Donor: \_\_\_\_\_  
First Name Last Name

Address: \_\_\_\_\_

City: \_\_\_\_\_ Postal Code: \_\_\_\_\_

Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_

Name of Donor's Company: \_\_\_\_\_  
(if applicable - for tracking purposes only)

Please print your name as you would like it to appear in recognition materials. \_\_\_\_\_

I do not wish my name to be publicly listed in United Way recognition materials.

I would like United Way to invest my gift where it is most needed

- I would my donation to be used to move people from poverty to possibility
- I would my donation be used to help kids be all that they can be
- I would my donation to be used to build healthy people and strong communities

I would like my donation to be designated to another United Way: \_\_\_\_\_

### Broker Information

Donor's Broker Institution: \_\_\_\_\_

Contact: \_\_\_\_\_

Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_

### Security Information

Name of Security: \_\_\_\_\_

On which Exchange are the securities traded?: \_\_\_\_\_

Number of Shares/Units?: \_\_\_\_\_ Approximate Total Donation: \_\_\_\_\_

Date Transfer Initiated: \_\_\_\_\_

### Please Sign

\_\_\_\_\_  
Signature of Donor

\_\_\_\_\_  
Date

Please complete this form and fax it to:

Kimberley Soulière  
Director, Individual Giving  
United Way of Peel Region  
Fax: 905-602-3651 | Phone: 905-602-3632  
ksouliere@unitedwaypeel.org

**AND**

Mr. Phil Sanders  
Investment Advisor, RBC Dominion Securities  
Fax: 905-277-6007 | Phone: 905-615-5944  
phil.sanders@rbc.com

# Guidelines for Transferring Assets to United Way of Peel Region

1. The easiest and safest method of transfer exists if the securities are in a brokerage account. Securities may be transferred from your brokerage account by instructions from you, the donor, to transfer the securities from your brokerage account to United Way of Peel Region's brokerage account.
  - UWPR brokerage firm: RBC Dominion Securities.
  - Account Number: 5351720510
  - Charitable Number: 108102807 RR0001
  - DTC #5002 CUID#DOMA
  - Contact: Phil Sanders, Investment Advisor  
905-615-5944  
phil.sanders@rbc.com
2. A donor who holds securities certificates may present an endorsed certificate with the signature guaranteed by the bank or your broker, or an unendorsed certificate and a signed stock or bond power of attorney with the signature guaranteed. Certificates may be delivered by courier to United Way of Peel Region in advance of the closing if due precaution is observed, that is, the unendorsed certificates and the stock or bond power of attorney are delivered separately.
3. Re-registering the securities certificates in the name of United Way of Peel Region is not recommended, as re-registration can take more than two weeks in which time the value of the securities can change.
4. To transfer mutual fund shares, the donor should send a letter of instruction to his or her fund company (i.e. AGF or McKenzie Financial, etc). The donor must sign documents provided by the fund company. A letter of instruction states:

"I request that "ABC Fund" immediately transfer (number) \_\_\_\_\_ shares from my account #\_\_\_\_\_ into the name of United Way of Peel Region, account 5351720510 at RBC Dominion Securities, attention: Phil Sanders. Do not sell or redeem this donation from my account."

After United Way of Peel Region receives confirmation that the shares are in its account, it will authorize the sale of the shares.

**CAUTION:** When transferring listed securities always ensure that the securities are transferred directly to United Way of Peel Region then sold by United Way. The securities must be transferred to United Way and not be sold by you, the donor. The gift will not qualify for the capital gains tax elimination if the securities are sold and the cash then gifted.